

01/17/02

01-23-02

PTO/SB/05 (4/98)

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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new non-provisional applications under 37 C.F.R. § 1.53(B))

Attorney Docket No.	S01.12-0815/STL 10262		
First Inventor or Application Identifier	Srinivas Tadepalli et al.		
Title	DISC DRIVE WITH GROOVES ON INTERNAL SURFACES		
Express Mail Label No.	EV049898252US		

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

Address To: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

1. ☒ *Fee Transmittal Form e.g., PTO/SB17)
(Submit an original and a duplicate for fee processing)
2. ☒ Specification [Total Sheets **16**]
(preferred arrangement set forth below)
 - Descriptive title of the Invention)
 - Cross References to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to Microfiche Appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
3. ☒ Drawing(s) (35 U.S.C. § 113) [Total Sheets **3**]
4. Oath or Declaration [Total Sheets **2**]
 - a. ☒ Newly executed (original or copy)
 - b. ☐ Copy from a prior application (37 C.F.R. § 1.63(d))
(for continuation/divisional with Box 17 completed)
 - i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §§1.63(d)(2) and 1.33(b).

5. ☐ Microfiche Computer Program (Appendix)
6. ☐ Nucleotide and/or Amino Acid Sequence Submission
(If applicable, all necessary)
 - a. ☐ Computer Readable Copy
 - b. ☐ Paper Copy (Identical to computer copy)
 - c. ☐ Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

7. ☒ Assignment Papers (cover sheet & document(s))
8. ☐ 37 C.F.R. § 3.73(b) Statement (when there is an assignee) ☒ Power of Attorney
9. ☐ English Translation Document
10. ☒ Information Disclosure Statement (IDS/PTO – PTO) ☐ Copies of IDS
11. ☐ Preliminary Amendment
12. ☒ Return Receipt Postcard (MPEP 503)
13. ☐ *Small Entity ☐ Statement filed in prior application. Status still proper and desired (PTO/SB/09-12)
14. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
15. ☐ Request and Cert. Under 35 USC 122 (Non-Pub)
16. ☒ Other: Our checks in the amount of \$40.00 and \$794.00

* NOTE FOR ITEMS 1 & 13: IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27), EXCEPT IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28).

17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:
- ☐ Continuation ☐ Divisional ☐ Continuation –in part (CIP) of prior application No: _____
- Prior application information: Examiner _____ Group/Art Unit: _____
- FOR CONTINUATION or DIVISIONAL APPS only:** The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

17. CORRESPONDENCE

☐ Customer Number or Bar Code Label (Insert Customer No. or Attach bar code label here) or ☒ Correspondence address below

Name	Theodore M. Magee				
	WESTMAN CHAMPLIN & KELLY				
Address	Suite 1600 – International Centre				
	900 South Second Avenue				
City	Minneapolis	State	MN	Zip Code	55402-3319
Country	USA	Telephone	(612) 334-3222	Fax	(612) 334-3312

Name (Print/type)	Theodore M. Magee	Registration No. (Attorney/Agent)	39,758
Signature		Date	1/17/02

FEE TRANSMITTAL		Complete if Known																																																																																																	
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1. <input checked="" type="checkbox"/> The Director is hereby authorized to charge any additional fee required under 37 C.F.R. § 1.16 and 1.17, including any petition fee, and credit any over payments to Deposit Account No. <u>23-1123</u> . Westman, Champlin & Kelly, P.A. 2. <input checked="" type="checkbox"/> Check Enclosed		3. ADDITIONAL FEES <table style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="text-align: left;">Large Entity</th> <th style="text-align: left;">Small Entity</th> <th style="text-align: left;">Fee Description</th> <th style="text-align: right;">Fee Paid</th> </tr> <tr> <th>Fee</th> <th>Fee</th> <th></th> <th></th> </tr> <tr> <th>Code</th> <th>(\$)</th> <th>Code</th> <th>(\$)</th> </tr> </thead> <tbody> <tr> <td>105</td> <td>130</td> <td>205 65</td> <td>Surcharge - Late filing fee or oath</td> </tr> <tr> <td>127</td> <td>50</td> <td>227 25</td> <td>Surcharge - Late provisional Filing Fee or cover sheet</td> </tr> <tr> <td>139</td> <td>130</td> <td>139 130</td> <td>Non-English specification</td> </tr> <tr> <td>147</td> <td>2,520</td> <td>147 2,520</td> <td>For Filing a Request for Reexamination. (ex parte)</td> </tr> <tr> <td>115</td> <td>110</td> <td>215 55</td> <td>Extension for reply within first month</td> </tr> <tr> <td>116</td> <td>400</td> <td>216 200</td> <td>Extension for reply within second month</td> </tr> <tr> <td>117</td> <td>920</td> <td>217 460</td> <td>Extension for reply within third month</td> </tr> <tr> <td>118</td> <td>1,440</td> <td>218 720</td> <td>Extension for reply within fourth month</td> </tr> <tr> <td>128</td> <td>1,960</td> <td>280 980</td> <td>Extension for reply within fifth month</td> </tr> <tr> <td>120</td> <td>320</td> <td>220 160</td> <td>Filing a brief in support of an appeal</td> </tr> <tr> <td>121</td> <td>280</td> <td>221 140</td> <td>Request for oral hearing</td> </tr> <tr> <td>148</td> <td>110</td> <td>248 55</td> <td>Terminal Disclaimer Fee</td> </tr> <tr> <td>140</td> <td>110</td> <td>240 55</td> <td>Petition to Revive - unavoidable</td> </tr> <tr> <td>141</td> <td>1,310</td> <td>241 670</td> <td>Petition to Revive - unintentional</td> </tr> <tr> <td>142</td> <td>1,310</td> <td>242 670</td> <td>Utility/Reissue issue fee (inc. advance copies)</td> </tr> <tr> <td>143</td> <td>490</td> <td>243 260</td> <td>Design issue fee (inc. advance copies)</td> </tr> <tr> <td>122</td> <td>130</td> <td>122 130</td> <td>Petitions to the Commissioner</td> </tr> <tr> <td>123</td> <td>50</td> <td>123 50</td> <td>Petitions related to provisional applications</td> </tr> <tr> <td>126</td> <td>180</td> <td>126 180</td> <td>Submission of Information Disclosure Statement</td> </tr> <tr> <td>581</td> <td>40</td> <td>581 40</td> <td>Recording each patent assignment per property (times number of properties)</td> </tr> <tr> <td colspan="4">Other Fee (specify) _____</td> </tr> </tbody> </table>		Large Entity	Small Entity	Fee Description	Fee Paid	Fee	Fee			Code	(\$)	Code	(\$)	105	130	205 65	Surcharge - Late filing fee or oath	127	50	227 25	Surcharge - Late provisional Filing Fee or cover sheet	139	130	139 130	Non-English specification	147	2,520	147 2,520	For Filing a Request for Reexamination. (ex parte)	115	110	215 55	Extension for reply within first month	116	400	216 200	Extension for reply within second month	117	920	217 460	Extension for reply within third month	118	1,440	218 720	Extension for reply within fourth month	128	1,960	280 980	Extension for reply within fifth month	120	320	220 160	Filing a brief in support of an appeal	121	280	221 140	Request for oral hearing	148	110	248 55	Terminal Disclaimer Fee	140	110	240 55	Petition to Revive - unavoidable	141	1,310	241 670	Petition to Revive - unintentional	142	1,310	242 670	Utility/Reissue issue fee (inc. advance copies)	143	490	243 260	Design issue fee (inc. advance copies)	122	130	122 130	Petitions to the Commissioner	123	50	123 50	Petitions related to provisional applications	126	180	126 180	Submission of Information Disclosure Statement	581	40	581 40	Recording each patent assignment per property (times number of properties)	Other Fee (specify) _____			
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Signature Theodore M. Magee
 (Theodore M. Magee)

Reg. No. 39,758

Date 1-17-2002

Deposit Account No. 23-1123